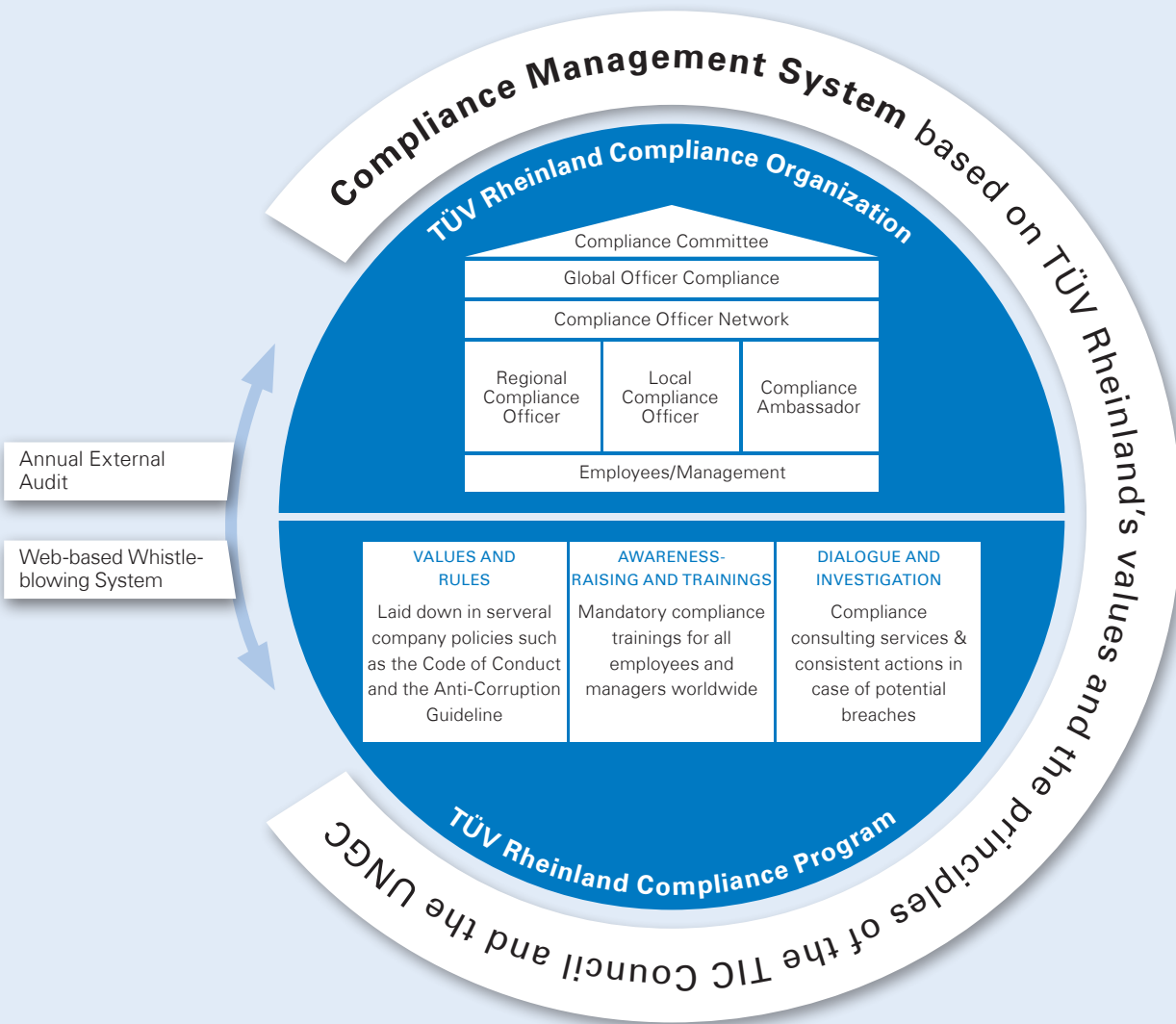


Compliance at TÜV Rheinland

To TÜV Rheinland, compliance means operating and working in accordance with the respective legal frameworks, internal rules and voluntary commitments. Our daily business is guided by the values laid down in our mission statement: integrity, excellence, customer orientation, performance and agility. By means of our Compliance Management System we ensure the group-wide implementation and monitoring of our compliance understanding.



TIC COUNCIL

TÜV Rheinland is a member of the TIC Council. The TIC Council is an international trade association for inspection agencies and other organizations that provide inspection, testing and certification services. As a TIC Council member we have committed ourselves to adhere to and implement the TIC Council Compliance Code throughout our organization.



UN GLOBAL COMPACT

Since 2006, TÜV Rheinland has acknowledged the United Nations Global Compact principles in the areas of protecting human rights, adhering to labor standards, protecting the environment and fighting against corruption.



CONTACT

Global Officer Compliance Tel. +49 221 806-4569
 Björn Clüsserath Tel. +49 221 806-4513
 Am Grauen Stein, 51105 Cologne compliance@de.tuv.com



COMPLIANCE ORGANIZATION

The executive board of TÜV Rheinland AG has to make sure that statutory regulations and internal guidelines are followed and takes action designed to guarantee compliance. The executive board convenes to catch up on compliance issues of interest, projects, violations of the Compliance Management System and the clarification of these incidents. The executive board as a whole monitors the Compliance Management System for proper functioning. The Global Officer Compliance provides assistance and advice to the executive board on all issues relevant to compliance. He monitors the observance of the compliance rules in use and reports on violations. Apart from that, he has to see to it that the Compliance Management System is properly implemented, documented and developed further. A worldwide network of Compliance Officers has been established to make the Compliance Management System of TÜV Rheinland globally manageable. The Compliance Officer network, reports regularly on violations which have been brought to their attention and on enquiries received and deals with Compliance incidents.

COMPLIANCE PROGRAM

Our compliance program is based on the legal frameworks in which TÜV Rheinland operates as well as on the values of our company. In addition we have committed ourselves to the UN Global Compact principles and the values set forth by the TIC Council. All principles relevant to us are outlined in our Code of Conduct which is binding for all employees and managers as well as for our business partners to the extent possible. We are convinced that compliance can only be successfully lived through a common understanding, a broad acceptance as well as the contribution of every single employee in their daily business. For this reason we offer a comprehensive personal and confidential consulting service to our employees through our compliance officer network as well as per information material and guidelines. In addition to that, every employee and manager worldwide is obliged to complete a training program on compliance issues. The improvement and strategic focus of the compliance program are discussed regularly with the executive board and the audit committee.

WEB-BASED WHISTLEBLOWING SYSTEM

The whistleblowing-platform can be used to anonymously report concrete violations of laws, internal company guidelines (e.g. the Code of Conduct) or our Compliance Program. The system is specifically designed for reports of compliance violations and not for general complaints. Of course, all information and data as well as the person providing the information will be treated strictly confidential. If the reference refers to a specific employee, he or she has the opportunity to comment on the accusation. If an allegation is not confirmed during the first evaluation, the report is deleted. However, the whistleblower will always be informed of the results of the investigation. An abusive use of the system is of course not desired. Should a whistleblower deliberately claim untrue facts about other persons in the company and unjustly accuse them, he/she would therefore face consequences if necessary.

You can reach the whistleblower platform at the following address www.tuv-rheinland.ethicspoint.com

ANNUAL EXTERNAL COMPLIANCE AUDIT

TÜV Rheinland's compliance management system is audited annually according to the TIC Council requirements at several TÜV Rheinland locations by an external auditor. The results of these external annual audits contribute to the continuous improvement and adjustment of our system.