



TÜVRheinland®

DIN CERTCO

Precisely Right.



Certification Scheme

FSC® Chain of Custody

according to

FSC-STD-20-011 CoC Certification

(Edition: July 2019)

Foreword

DIN CERTCO was founded in 1972 by DIN the German Institute of Standardization e.g. for the awarding of DIN marks and offers the certification of products and persons, services and enterprises on the basis of the DIN Standards and similar specifications.

In order to prove our impartiality, independency and competence, we are voluntary accredited according to DIN EN ISO/IEC 17065. For the satisfaction and trust of our clients and their data, we maintain furthermore a certified

- Quality Management System according to DIN EN ISO 9001
- Environmental Management System according to DIN ISO 14001
- Information Security Management System according to DIN ISO/IEC 27001
- Occupational Health and Safety Management System according to OHSAS 18001

Our certification activity in the field of this certification scheme is possible based on our valid FSC recognition by ASI (Assurance Service International).

In conjunction with the General Terms and Conditions of DIN CERTCO, this certification scheme forms the basis for certification

Based on a successful certification process, companies in the product chain are granted the licence to use the registered FSC symbol.

Code of Conduct as well as the company's philosophy of TUV Rheinland expresses the acknowledgement of the principles of the Global compact of the United Nations and of the International Federation of Inspection Agencies (IFIA) – the association of leading international inspection organizations. The code of conduct is binding for all organizations of the group.

All certificate holders can be viewed on the daily up-dated homepage of DIN CERTCO (www.dincertco.de).

Certification Scheme effective date

This certification scheme becomes effective on 01.07.2019. All certificates according to the former revision remain valid without additional changes.

Modifications

Compared to the certification scheme "FSC Chain of Custody" (2017-08) the following changes were made:

- a) Deletion of chapter 5.8.2.1 for the transition period between FSC-STD-40-005 V2-1 to FSC-STD-40-005 V3-0/V3-1
- b) Reference to the possibility to set up a trademark management system
- c) Editorial changes

Former issues

Certification Scheme "FSC Chain of Custody" (2014-11)
Certification Scheme "FSC Chain of Custody" (2015-06)
Certification Scheme "FSC Chain of Custody" (2016-06)
Certification Scheme "FSC Chain of Custody" (2017-03)
Certification Scheme "FSC Chain of Custody" (2017-06)
Certification Scheme "FSC Chain of Custody" (2017-08)

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1 Scope

This certification scheme is applicable to all Chain of Custody operations trading, processing or manufacturing timber based products and non-timber forest products according to FSC requirements and contains all the requirements for implementing certification procedures in relation to the standards listed below.

This certification scheme presented here states the requirements that need to be met by the Chain of Custody operations and its auditing, monitoring and certification.

2 Test and Certification Specifications

The following referenced documents form the basis for testing and certification. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

- This certification scheme
- The General Terms and Conditions of DIN CERTCO
- The respective schedule of fees of DIN CERTCO
- Licence Agreement

The relevant normative documents from FSC can be downloaded at www.ic.fsc.org/en/document-center in the document center ("document center"). This can be found in the footer of starting page (<https://ic.fsc.org/en>).

3 Requirements

The FSC requirements to be met by companies apply across the entire product chain. The requirements are defined in the standards mentioned in chapter 2 of this certification scheme

The Verification of compliance with these FSC requirements is in general provided by on-site audits.

4 Auditing

4.1 General Information

For the performance of the audits required as the basis for the assessment and certification of the products, DIN CERTCO avails itself of the auditors to which it has awarded recognition.

For Companies that are traders without physical possession auditing can be done by means of a 'desk-top audit'. The requirements mentioned in FSC-STD-20-011 chapter 2.6e needs to be met.

As part of the certification of companies with several sites (multiple-site certification), the special requirements for selecting random samples and inter-company/site regulations set out in standard FSC-STD-40-003 are applicable.

Companies which are sourcing non-certified or non-controlled materials are required to perform verification of these materials according to the requirements of standard FSC-STD-40-005 in as part of an internal Due Dilligence System.

Companies which are sourcing reclaimed materials are required to perform verification of these materials according to the requirements of standard FSC-STD-40-007 as part of a verification program.

4.2 Types of Audits

4.2.1 Initial Audit

The initial audit serves to determine whether the organisation meets the requirements laid down in section 3 of this certification scheme.

4.2.2 surveillance Audit (Control Audit)

The verification audit will be conducted repeatedly at determined set intervals and serves to establish whether the certified organization continues to comply with the requirements and any non-conformities have been rectified on schedule.

These are assigned by DIN CERTCO and must be evidenced by a positive audit report.

The requirements according to standard FSC-STD-20-011 have to be applied. Audits can also be carried out at short notice or unannounced as required.

The surveillance audit must be carried out according to the defined timelines and according to the specifications of the applicable FSC regulations.

4.2.3 Supplementary Test

A supplementary test in the form of an audit shall take place when additions, extensions or modifications (see section 5.8 of this certification scheme) are made, which may influence the conformity with the requirements.

This can be either a change in certification scope as well as the addition of new sites.

The type and scope of the supplementary test shall be laid down on a case by case basis by DIN CERTCO.

4.2.4 Special Test (Special Audit)

A special test (e.g. additional audit) is conducted if:

- Defects are detected
- Required by DIN CERTCO - reasons to be specified
- Required by FSC/ASI – reasons to be specified
- Requested in writing by a third party if a particular interest in the maintenance of proper conduct of market procedures in relation to competition or quality is involved.

The type and scope of the special test shall be laid down in accordance with the specific, respective purpose on a case by case basis by DIN CERTCO. If the special audit is initiated by the FSC, FSC will be included in the agreement.

Should defects be detected in the course of the special test, the certificate holder shall bear the costs of the special audit procedure.

Should the special test at the request of a third party reveal no defects, the costs shall be borne by the said third party.

4.3 Audit Procedure

The audit must be conducted in accordance with the specifications of FSC-STD-20-011 chapter 2 and 3 as well as Part II, with regard to duration and audit contents.

An auditor will be assigned for conducting the audits. For audits at multiple-sites, preparation and sampling of sites in according with FSC-STD-20-011 chapter 7 takes place together with the certification body.

Based on the results of the on-site-audit, the findings will be documented in a written report (cf. from section 5.9).

At the end of an audit the findings (please refer to chapter 5.9,) are given in a written report. The conformity with the requirements of the relevant standards needs to be shown in the audit and evidenced in time by a positive audit report. Any non-conformities from standard requirements are to be analyzed causally by the certificate holder and corrected by means of corrective actions to ensure conformity with the requirements given in the relevant standards.

In case of a multiple-site audits the findings (non-conformities) of central office level need to be differentiated from the findings of the participating sites audited as part of the sampling.

4.4 Audit Report

The auditor shall inform the principal of the audit results in the form of an audit report.

As a rule, in accordance with section 4.5.5 of the standard FSC-STD-20-011 the audit report shall not be older than 6 months at the time of certificate issuance.

The audit report must at least contain the following information in addition to the minimum requirements defined in FSC-STD-20-011 chapter 11:

- Name and address of the customer and of the customer's managing representative
- Type of audit (e.g. first, monitoring or extension audit)
- The audit objectives
- The audit scope, in particular a list of the organizational and functional units or the audited processes
- The members of the audit team as well as the participants in the audit in the audited organization
- The dates and locations of the audits
- The audit criteria
- The audit findings and related documentary evidence
- The result of the audit
- An indication as to what extent the audit criteria have been met
- Any unresolved issues

5 Certification

Certification in the sense of this certification scheme relates to the assessment of conformity of a product by DIN CERTCO on the basis of audit reports submitted by auditors recognized by DIN CERTCO. To this end, the products to be certified are examined and subsequently monitored in respect of conformity with the requirements laid down in section 3.

The right to use the "FSC®" trademark is granted by the issuing the respective certificate and based on signing the logo license agreement.

In using the logo, the organization must comply with the requirements set out in FSC-STD-50 001. Individual applications must be submitted to DIN CERTCO for checking prior to use or verified in the company's trademark management system according to FSC-STD-50-001 annex A.

5.1 Application of certification

The applicants are the individual companies within the product chain.

The following documents have to be submitted by the applicant to DIN CERTCO:

- The application for certification completely filled in and with a legally binding signature.
- Signed FSC licence agreement which is not suspended

The applicant shall receive from DIN CERTCO, following receipt of the application, a confirmation of order with a process number and notes regarding the further course of the procedure and, as applicable, queries concerning any missing documents.

5.2 Conformity Assessment

On the basis of the documents submitted with the application as well as the results of the audit, DIN CERTCO conducts the conformity assessment. Based on the aforementioned documents and information it is assessed whether the requirements mentioned in the certification scheme and the relevant FSC standards are met.

In case there are additional or new information available which were not taken into consideration in the audit report they will be verified as part of the assessment process. This may lead to a time delay in the certification decision process.

The applicant shall receive written notification from DIN CERTCO in the event of any possible non-conformities.

5.2.1 Requirements for Multiple Site

In case of Multiple-site audits the requirements of the standard FSC-STD-40-003 have to be considered.

If 5 or more "major corrective action requests" were issued to a central office of a Multiple-site, DIN CERTCO will suspend the main certificate.

If 5 or more "major corrective action requests" were issued to participating sites, DIN CERTCO will suspend the participant. The suspension of the main certificate is not required.

The non-conformities which are determined at the participating site can be counted as those from central office if they result from the actions of the head office.

5.2.2 Requirements for Controlled Wood

5.2.2.1 Due Diligence System

According to FSC-STD-40-005 there is a need to set up, document and maintain a Due Dilligence System during the validity of the certificate (Part 1 chapter 1 of FSC-STD-40-005). This system needs to be integrated part of the Management System set up as part of the FSC Chain of Custody Certification.

The Due Dilligence System has three main components:

1. Obtaining Information on material and supply chain
(Part 1 chapter 2 of FSC-STD-40-005)
2. Risk assessment at level of origin and supply chain
(Part 1 chapter 3 of FSC-STD-40-005)
3. Risk mitigation by means of control measures
(Part 1 chapter 4 of FSC-STD-40-005)

The organization is responsible for the implementation and maintenance of the Due Dilligence System. External experts might be involved, DIN CERTCO as the responsible certification body is excluded.

In addition, the requirements given in Annex A of the certification scheme needs to be met. There might be a need to perform additional audits. The requirements given in FSC-STD-20-11 chapter 5 and 6 apply.

5.2.3 Requirements for Outsourced Processes

Provided that the customer has outsourced relevant processes, the requirements from section 12 of FSC-STD-40-004 have to be applied.

A case-related risk assessment is to conduct taking into account current FSC rules and additional on-site-audits might become necessary.

5.2.4 Requirements for Reclaimed Material

Provided that the customer uses reclaimed material, the requirements of FSC-STD-40-007 have to be considered. Additional on-site-audits at the suppliers site can become necessary depending on the process of classification as Pre-or Post-consumer material.

5.3 Certificate and Right to Use the Mark

After successful auditing and conformity assessment of the submitted documents and the audit report, DIN CERTCO issues a certificate to the applicant in conjunction with a corresponding registration number and awards the right to use the FSC trademark.

On request the certificate holder is given access to the logo generator by DIN CERTCO after issuing the certificate.

5.4 Publications

All certificate holders can be viewed on the daily up-dated homepage of DIN CERTCO (www.dincertco.de) at certificate holder and on www.info.fsc.org. Manufacturers, users and consumers use this research tool to get information about certificated enterprises.

Besides the contact details of the certificate holders (telephone, telefax, e-mail, homepage), it is also possible to view more information on the certified product groups..

5.5 Validity of the Certificate

The certificate is valid for 5 years minus 1 day. The period of validity is shown on the certificate. On expiry of the certificate, the right to use the mark also expires.

The following requirements are the basis for the validity of the certificate:

- Continuous conformity with the requirements mentioned in the certification scheme
- Conformity with all requirements defined by DIN CERTCO and FSC in regard to claims, trademarks, certificate marks and trademark usage
- Correction of all non-conformities from the relevant FSC normative documents within the timeline defined by DIN CERTCO
- Payment of all scheduled fees and costs in an appropriate time frame
- Performance of the necessary surveillance as defined by DIN CERTCO and FSC
- Holding of a valid licence agreement where the right to use the FSC trademarks is not suspended

5.6 Renewal of the Certificate

If the certification shall continue to apply beyond the date shown on the certificate, an application for renewal must be submitted in good time to DIN CERTCO. On the basis of the application form and an up-to-date audit report DIN CERTCO conducts the conformity assessment.

5.7 Expiry of the Certificate

In the event that the new evaluation of conformity with the requirements of the standard according to section **Fehler! Verweisquelle konnte nicht gefunden werden.**4 has not been completed before expiry of the validity period, the right to use the FSC trademark the registration number expires without the necessity for explicit notification from DIN CERTCO.

Furthermore, the certificate can also expire if:

- The surveillance according to section 4.2.2 is not performed punctually or completely
- The certificate is misused by the certificate holder
- The requirements laid down in the certification scheme or its accompanying documents are not fulfilled

- The certification fees are not paid on the due date
- The prerequisites for the issuing of the certificate are no longer fulfilled

The relevant entries will be made in the FSC database.

In the event the certificate is suspended or terminated:

- The certificate holder has to immediately stop the use of the FSC trademark, sales of products certified with the FSC trademark, and declaration of conformity with the requirements of the certification.
- The certificate holder has 3 working days to identify all its relevant, existing customers and inform them about the suspension/termination of the certificate. This must be documented accordingly.
- The certificate holder shall collaborate with the DIN CERTCO and the FSC to facilitate the review of the aforementioned requirements.

In case of termination the certificate holder is in accordance with chapter 1.4.8 (2) of the General Terms and Conditions to return the certificate to DIN CERTCO. Alternatively the original certificate as well as all electronic or physical copies needs to be destroyed.

5.8 Alterations/Amendments

5.8.1 Alterations/Amendments

The certificate holder is obliged to notify DIN CERTCO of all alterations which are relevant for the certification without delay. DIN CERTCO decides on the scope of an examination that shall be conducted according to section 4.2.3 and whether it is a matter of a substantial alteration.

Should DIN CERTCO determine a substantial alteration, a complementary evaluation shall be conducted. If the standard conformity is preserved, the certificate and the use of certification mark remain valid. After negative conformity assessment both the certificate and the respective registration number are immediately cancelled together with the right to use the mark.

Furthermore, all changes in formal aspects (e.g. certificate holder or address) in the organizational structure as well as all aspects having influence on the certification requirements have to be submitted to DIN CERTCO within 10 days.

In case the alterations/amendments require the issuing of a new version of the certificate, the former revision is to be sent to DIN CERTCO or to be destroyed.

5.8.2 Alterations on the normative basis

Customers that were certified prior to the effective date of approval of a new or revised applicable FSC normative document shall be audited against the requirements of the new or revised document in accordance with the applicable transition requirements. Additionally action might become necessary.

5.8.3 Validity and differences of Controlled Wood compared to other FSC requirements

According to FSC-STD-40-005 there are extended and special requirements in regard to the complaint management to be set up by the organization. Furthermore, a stakeholder consultation in accordance with annex B of FSC-STD-40-005 needs to be done. FSC-STD-20-006 is not applicable.

5.9 Defects and non-conformities

The term defects in this case refer to non-conformities from the requirements by the participating companies. If non-conformities from the system requirements for the product chain of custody certification are found, the holder of the certificate will be requested by DIN CERTCO to take corrective action.

DIN CERTCO shall decide whether the non-conformity is major or minor, according to the definition below according to FSC-STD-20-001 chapter 4.3 12 to 4.3.14..

Observation

Early stages of a problem which does not yet constitute a non-conformity, but which the auditor considers may lead to a future non-conformity if not addressed by the client.

Minor non-conformity:

- temporary lapse
- non-systematic / unusual error
- limited in temporary or organizational scale
- does not result in a fundamental failure to achieve the objective of the standard requirements

Corrective actions must be taken within a year, under special circumstances within two years, and re-evaluated in surveillance audits.

Major non-conformities:

(Considered both individually and in combination with multiple failures, the error represents fundamental non-compliance.)

- Continue over a longer period of time
- Is systematic
- Affect a wide range of the production
- Are not corrected or adequately addressed once detected

Corrective actions must be taken within 3 months, under exceptional and justified circumstances within 6 months, and must be demonstrated to the certification body in a suitable manner (possibly in the form of a follow up audit).

5 or more major non-conformities during monitoring will result in the discontinuation of the current certification process and the suspension of the certificate.

For re-instating the certificate, the closure of all major non-conformities is required.

Major non-conformities cannot be downgraded to minor non-conformities.

Non-conformities have to be corrected within a timeframe set by DIN CERTCO by suitable measures

Absence of a signed license agreement will be considered as a major non-conformity in accordance with the requirements of FSC. A shortened period of 2 weeks applies, otherwise the certificate will be suspended until the license agreement is signed and will be deleted after a further period.

If DIN CERTCO finds major/serious non-conformities against the FSC-standards at a participating site or if these are found by the certificate holder and if they cannot be corrected or if possible corrective actions cannot be completed, this will result in the suspension of the certificate or its withdrawal after a reasonable period of time. The organization hereby loses its right to use the FSC trademark.

6 Surveillance by the Manufacturer

The manufacturer must ensure, by suitable quality management measures, that the product characteristics confirmed by the certification are maintained. This can be accomplished by means of an in-house factory production control (FPC) focussed on the product itself or on the production and, in addition, can be guaranteed within the framework of a quality management system (QM-System) in accordance with the standard series DIN EN ISO 9000 ff. This must be reasonably documented.

This also relates to the documentation and structure of the complaints system. This must, among other things, regulate how complaints are handled, non-conformities from the requirements of the certification scheme and the standards set out above.

The relevant records must be submitted to DIN CERTCO or its agents on request.

7 Surveillance by DIN CERTCO

One critical component of certification is constant monitoring of the certified product throughout the certificate's entire term of validity. Monitoring is carried out on a regular basis at intervals of one year. The time between the audits can be reduced, provided that this is determined in the context of the assessment, taking into account the factors mentioned by the certification body or the FSC in FSC-STD-20-001 section 20.1.

DIN CERTCO examines the conformity of the product by conducting surveillance audits according to the requirements laid down in the certification scheme as well as the effectiveness of the self control according to section 6 of this certification scheme.

8 Complaints/Appeal

8.1 In regard to decisions of the certification body

In case a customer or a third party does not agree with the decision of the certification body, the appeal/complaint will be handled according to chapter 1.11 of DIN CERTCO's General Terms and Conditions combined with the process described in "complaint procedure FSC".

8.2 Requirements on documentation and process for complaints to certificate holder

The certificate holder is requested to document all complaints/appeals getting to his knowledge in accordance with chapter 1.7 of DIN CERTCO's General Terms and Conditions. In addition, the organization is requested to:

- Define suitable measures taking the type of appeal/complaint and the defects related to the FSC Standards in consideration
- Document the measures taken

- Give access to those documents to DIN CERTCO on request:

9 Others

DIN CERTCO maintains its FSC recognition with the relevant scope. Should DIN CERTCO's FSC CoC recognition be suspended or withdrawn, the FSC certificates shall be suspended 6 months after the recognition suspension or withdrawal. In this case, all certificate holders will be notified of this situation within 30 days by DIN CERTCO.

10 Obligations of the customer according to FSC-STD-20-001

According to the requirements mentioned in FSC-STD-20-001 all certificate holders accept the following requirements

- to comply with the requirements of all applicable FSC standards and accepts all conditions concerning the certification set by DIN CERTCO for granting or maintaining the certification.
- to disclose current or previous application or certification with FSC and/or other forestry certification schemes within the last five years.
- to the conduct of evaluations at the required intervals, including the certification body's right to carry out unannounced or short notice audits.
- to audits accompanied by representatives of ASI (Assurance Service International).
- that the publication of specified information, as indicated in the applicable FSC normative documents.
- to accept the participation of observers as specified in FSC-PRO-01-017.
- to only make claims regarding certification consistent with the scope of certification and not making any claims of conformity until and unless certification is granted.
- not to use its certification in such a manner as to bring DIN CERTCO, FSC or ASI into disrepute and not make any statement regarding its certification that may be considered misleading or unauthorized.
- to keep a record of all complaints made known to him relating to conformity with certification requirements and make these records available to the DIN CERTCO when requested, and:
 - Takes appropriate action with respect to such complaints and any deficiencies found in products that affect conformity with FSC certification requirements
 - Documents the actions taken
- to meet the following obligations on suspension or withdraw of certification:
 - Immediately cease to make any use of any FSC trademarks, or to sell any products previously labeled or marked using the FSC trademarks, or to make any claims that imply that they conform with the requirements for certification
 - Identify all existing certified and uncertified customers, inform those customers of the suspension or withdrawal in writing within three days of the suspension or withdrawal, and maintain records
 - Cooperate with DIN CERTCO and with FSC in order to allow DIN CERTCO or FSC to confirm that these obligations have been met.

- to meet the following additional obligations on withdraw of the certification:
 - return the certificate to DIN CERTCO or destroy the original and destroy any electronic copies and printed copies in their possession
 - remove all uses of FSC's name, initials, logo, certification mark or trademarks from its products, documents, advertising or marketing materials
- to submit any use of the trademark for the prior examination to DIN CERTCO.

Furthermore, the certificate holder agrees:

- to inform the DIN CERTCO within ten days of changes in the ownership, structure of the organization, certified management systems or circumstances which relate to the implementation of FSC certification requirements.
- in case of reduction, suspension or withdraw of the scope of DIN CERTCOs FSC recognition, the certification of the affected clients will be suspended within six months after the date of reduction, suspension or withdraw of the respective scope of FSC recognition.
- that the DIN CERTCO has the right to delay or postpone its decision on certification, in order to take account of new or additional information which has not already been considered in its audit report and which, in the opinion of the certification body, could affect the outcome of its evaluation.
- that the DIN CERTCO shall not be obliged to grant or maintain certification, if activities of the client conflict with the obligations of the DIN CERTCO as specified in its recognition contract with ASI, or which, in the sole opinion of the certification body, reflect badly on the good name of the certification body.
- that the DIN CERTCO and FSC have the right to revise the requirements of certification within the period of validity of the certification, including the revision of costs and fees.
- that DIN CERTCO, FSC and ASI have the right to access confidential information, examine documentation deemed necessary, and access to the relevant equipment, location(s), area(s), personnel, and bodies providing outsourced services to clients.
- that DIN CERTCO has the right to use information which is brought to its attention, to follow up on misuses of the FSC trademarks and of the intellectual property rights held by FSC.

The certificate holder acknowledges the title of the FSC's intellectual property rights and that FSC retains full ownership of the intellectual property rights and that nothing shall be deemed to constitute a right to use or cause to be used any of the intellectual property rights.

The certificate holder accepts that DIN CERTCO has the right to suspend and/ or withdraw its certification with immediate effect if, in the sole opinion of DIN CERTCO, the client is not in conformity with the conditions specified for the maintenance of certification.

that a complaint is first handled according to the certification body's dispute resolution procedure and if not resolved referred to ASI and ultimately to FSC, in case of disagreement with audit findings related to FSC normative documents.

The certificate holder agrees that DIN CERTCO may use the provided information according to violation of the FSC trademark or intellectual property of FSC.

Annex A Requirements on Due Dilligence System

Risk mitigation

In case the risk assessment classifies the risk at the level of origin as „low risk“ and at supply chain level as „no risk“, the material can be used as controlled material and/or sold with a declaration as FSC Controlled Wood. A risk mitigation in accordance with FSC-STD-40-005 is not required (chapter 4).

In case the risk assessment classifies as „specified“ or „unspecified“ risk (unspecified risk only used in national risk assessments according to FSC-PRO-60-002 V2-0 and company risk assessments (CRA)) the organization is required to define and set up suitable control measures.

Necessary control measure at level of origin

The standards define general and specific requirements to be met for control measures at the level of origin. For control measures at (different) Controlled Wood categories the guidance given in FSC-STD-40-005 in chapter 4 as well as annex A (risk assessment by the organization), annex B (stakeholder consultation) and annex C (requirements on qualification of experts) needs to be taken into consideration and respected.

The control measures defined in the national risk assessments are, beside the recommendations, mandatory for the organizations and need to be implemented. Exceptions, (e.g. in case of conflicts of interest with national laws) needs to be dealt with in accordance with the requirements defined in the standards as well as agreed with the certification body.

Necessary control measures at the level of supply chain

The standard does not define specific requirements for corrective measures against mixture with ineligible inputs at supply chain level. Is there a specified (or unknown) risk and is therefore risk mitigation needed, it is in the responsibility of the organization to define specific corrective actions. The guidance given in annex E of FSC-STD-40-005 is recommended.

The organization is responsible for the conformity with the standards as well as the measures for risk mitigation (if applicable). The organization can request their supplier or sub-supplier to follow parts of the standard to achieve conformity with the requirements. It is the aim to strengthen the cooperation at supplier and sub-supplier level and to integrate them in the corrective measures for risk mitigation. Relevance, effectiveness and suitability of the Due Dilligence System needs to be ensured.